1761551N25A - SH-AD-32A (OPES) (4/91)

COUNTY OF LOS ANGELES

SHERIFF'S DEPARTMENT

DATE: March 31, 2005

EXECUTIVE FORCE REVIEW COMMITTEE
POLICY ASSESSMENT
DISPOSITION SHEET

FILE NO: #2114412

FROM: JOHNNY G. JURADO, COMMANDER ERIC B. SMITH, COMMANDER ROBERT L. BINKLEY, COMMANDER TO: KARYN MANNIS, CAPTAIN INTERNAL AFFAIRS BUREAU

SUBJECT:

KELLEY, TINA, DEPUTY # TRANSIT SERVICE BUREAU, FOR II
GARCIA, DIANNE, DEPUTY # TRANSIT SERVICE BUREAU, FOR II
RIORDAN, DANIEL, DEPUTY # TRANSIT SERVICE BUREAU, FOR II

On March 29, 2004, Deputy Riordan and his partner Deputy Scott Harper were flagged down by a Blue Line Train driver who said a man was running around on the tracks. The deputies requested additional personnel respond and asked that train traffic be stopped at a nearby station. The deputies parked their patrol car at the nearby station (the only safe place to park in the area) and began to walk down the tracks. The deputies saw the male (Kevin Ellis) and ordered him to surrender. Instead, Ellis threw rocks at the deputies. The deputies ordered Ellis to stop throwing rocks and come out of the bushes he was hiding in. Ellis refused to comply. Ellis was crouched near some bushes, with his right hand concealed in his waistband. The deputies ordered Ellis to show his hands and surrender. Ellis refused and claimed to have a gun. Additional deputies arrived and a tactical plan was developed involving less lethal force options (stunbag shotguns) and a ballistic shield. Evacuations of nearby residences were begun and Canine Services Detail (CSD) requested to respond. Ellis ran deeper into a patch of bushes, out of the direct view of personnel on scene. While various options of flushing Ellis out of the bushes were being considered, Ellis ran from the bushes directly at the group of deputies who had staged as the arrest team. Ellis ignored commands to stop and surrender, and ran at the deputies with his right hand concealed in his waistband. Believing that Ellis was armed and in the process of withdrawing his gun and attacking the deputies, Deputy Riordan fired three 9mm rounds at Ellis, Deputy Garcia fired four 9mm rounds and Deputy Kelley fired two 9mm rounds. Deputy fired three stunbag shotgun fired one stunbag shotgun round at Ellis. Ellis fell to rounds at Ellis and Deputy the ground, but continued to conceal his right hand. Deputy Riordan repeatedly ordered Ellis to show his hand. When he refused, Deputy Riordan had deputy fire his last stunbag shotgun round at Ellis. When Ellis still refused to show his hands, Deputy Riordan then had Deputy fire three more stunbag shotgun rounds at Ellis. After the final stunbag shotgun round was fired,

Ellis finally pulled his right hand from his waistband and raised it into the air. An arrest team was formed and the suspect was taken into custody. Ellis suffered a single gunshot wound to his upper torso and survived. No deputy personnel were injured.

On February 10, 2005, the Executive Force Review Committee convened and conducted a review regarding the facts of this case. The applicable policies that were evaluated by the committee were: 3-01/025.00, Use of Force; 3-01/025.30, Use of Firearms and Deadly Force; 3-01/025.10, Unreasonable Force; and 3-01/050.10, Performance to Standards. Concerning:

3-01/025.00, Use of Force, 3-01/025.30, Use of Firearms and Deadly Force, and 3-01/025.10, Unreasonable Force, the Committee determined that the force used by all deputies was reasonable and necessary and in compliance with Department policy.

3-01/050.10, Performance to Standards, the Committee determined that the tactics employed by all deputies in this incident were within Department Policy.

ohnny G Jurado, Commander

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- B District Attorney's Letter of Opinion

MISCELLANEOUS DOCUMENTS

Assignment cards for involved deputies Training Records for involved deputies

Los Areles County Sheriff's Deprement Officer Involved Shooting

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	MAKES								
Report Date:	03-30-0		reau/Station/Facility:	TRANSIT SE	ERVICES	BUREAU	Admin. In	vest.?	Hit?
				Incident Inform					
URN:	004-02	160-6008	-055	Date:	03-29	-04	7	ime:	2035
City or Station:		LONG BE	ACH						HE RUSHED
		AVENUE		THEM SIMUL	_ATING T	HAT HE HA	AD A HAI	ND GUN.	
Location Type (circle one or mo Backyard Beach Business Freeway Industrial Park Parking Lot Residence	re):	Darkness Daylight Other Street Lights Weather (cir	cle only one):	Incident Type (cin Accidental Armed Person Fleeing Suspect Foot Pursuit Gun Take Away Moving Vehicle Sniper/Ambush Startle		ore):	Arro Cal Obs One Oth Sea Two	servation e Person Uni eer arch Warrant o Person Uni	t
Rural School Street Other: R.R. TR	ACKS_	Fog Rain	12 EEET	Struggle Involve Traffic Stop Unarmed Perso Unintentional Vehicle Pursuit			Dete Inma Othe	ctive ite Transport	le only one):
Total # of Shots Fir	ed by Deputy	The second secon	-12 FEET ots Fired by Suspect	Warrant Service		-		-	
9			0	Other:			Aero	Unit?	Canine Unit?
				Employee With	esses				
Employee#	Last N	QUES	ADA	MARLON	M.I. NMN		Day	Regular	(circle only one): Overtime Off Duty (circle only one):
Employee #	Last N	REYNO		ROBERT	M.I. L.	ShiftTime (circle	Day	Regular	Overtime Off Duty
Employee#	Last	Name	First	Name	M.I.	ShiftTime (circle	e only one): Day		(circle only one): Overtime Off Duty
			No	n-Employee W	itnesses				
Last Name		NO	ONE		First N	Vame			M.I.
Street Address			City		Zip Co	ode	Wor	k Ph	Home Ph
Last Name					First	Vame			M.I.
Street Address			City		Zip Co	ode	Wor	k Ph	Home Ph
Last Name					First	Name			M.I.
Street Address			City		Zip Co	ode	Wor	k Ph	Home Ph
				Superviso	rs 🔠		100000000		
Employee #	Last Name		First N	ame	M.I	(circle one or		√	litness to shooting
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Employee #	Last Name	WILKINSO	First N	JOHN	м.i М .	On Duty Present du	more): > uring shooti		itness to shooting volved in shooting
\$ 16 P		VILKINGO		Watch Serge		10 E			
Employee #	Last Na	ame		<u> </u>		irst Name			M.I.
			RYAN				JEROM	1E	F.
	ļ			Watch Comm		ent New -			
Employee #	Last Na	arrie -	GJENDE	М		irst Name	ERNIE		м.I. А.

	PSTD Use Only
SH#	2114412

Officer Involved Shooting

URN: 004-02160-6008-055

Page

			_					- 3		
					Rollout Informatio	n				
Arrival	Date 03-29-04	A	rrival Time	2315	Date Submitted 1	1-23-04	Date of Recommendation			
Emplo	yee # Last N	lame JO	HNSON			First Nam G	REGORY		M.I	H.
Emplo	yee # Last N	lame HII	NES			First	/ILLIAM		M.1	L.
Emplo	yee # Last N	lame AL	EXANDER			First	AMARA		M.I	A.
				Shoot	ing / Force Infor	mation				
Meth						Ty	pe of Injury	Body	Part I	njured
(AW) (BC) (BIF) (CCH) (TTD) (CCG) (TEX) (FFB) (FFB)	Arwen Baton: (Control) Baton: (Impact) Bodily Fluids Canine Carotid Restraint Choke Hold Control Holds: (Control T Control Holds: (Team Ta Control Holds: (Takedow Chemical Agents (OC S Chemical Agents (Tear (Explosives Firearm (Handgun) Firearm (Rifle) Firearm (Shotgun) Firearm (Shotgun) Firearm (Other) Flashbang Flashlight Other Weapon: Edged	kedown) (n) pray)	(OB) (OB) (OD) (OD) (OD) (OD) (OD) (OD) (OD) (OD	Other Weapo Personal We- Personal We- Personal We- Personal We- Resistance Restraint Dev Restraint Dev Restraint Dev Restraint Dev Restraint Dev	nn: Blunt Object nn: Other apon: Feet/Leg: (Kick) apon: Feet/Leg: (Sweep) apon (Hand/Arm) apon (Push) apon (Other) vice (Capture Net) vice (Handcuffs) vice: Hobble (Legs Only) vice: REACT Belt	(AB (BR (BU) (CP (COH (DI)) (DB (FR)) (GB (LC) (COH (PA) (SD) (CP) (SD) (CP) (SD) (CP) (COH (CH) (CP) (COH (CH) (CH) (CH) (CH) (CH) (CH) (CH) (CH	Bruise Bum Complaint of Pain Concussion Death Dislocation Dog Bite Fractures Gunshot Human Bite Lacerations Nerve Damage Paralysis Puncture Wound Soft Tissue Damage Sprain/Twists	(AD) (AK) (AR) (BK) (BT) (CH) (EL) (FA) (FE) (FI) (GR) (HD) (HE) (HI) (IX) (XX) (LE) (SK) (SH)	Abdom Ankle Arm Back Buttock Chest Elbow Face Feet Fingen Genita Groin Hand Head Hip Interna Knees Leg Neck Should	ks s sls
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FORCE APPLIED (one code per block)

(H) (HK) (IT)

Used By (E# or S#)	Used Against (E# or S#)	Method (Code)	Brand (Code)	Caliber (Code)	Authorized Weapon? (Y/N)	Authorized Ammunition? (Y/N)	Type of Injury (Code)	Body Part (Code)
E#1	S#1	FH	BR	9	· Y	Y	GS	CH
E#2	S#1	FH	BR	9	Y	Υ	GS	CH
E#3	S#1	FH	BR	9	Y	Y	GS	CH
S#1	E#1	UC					NN	
S#1	E#2	UC					NN	
S#1	E#3	UC					NN	

Officer Involved Shooting Involved Employee Information

URN: ____004-02160-6008-055

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			Involved Employee		ATTINICAL PROPERTY OF THE PARTY
E_1	Employee#	Last Name KELLEY		First Name TINA	M.I. M.
	Sex: Race: W	Rank	Unit Assignment: TRANSIT SERVICES BUREA	Work Assignment (Unit #, I	
	ShiftTime (circle only one): EM (PM) Day	ShiftType (circle only one): (Regular) Overtime Off Duty	Intoxication/Drug Usage?	Substance Used:	0021
	Hospital Admission?	Hospital Name:	Coroner Case?	Coroner Case #	Interviewed?
	Age: Height:	Duty Time (hrs): 1400 Weight 04"	Clothing (circle only one): Plain Clothes no Vest Raid Jacket w/ Vest Plain Clothes w/ Vest Uniform no Vest Raid Jacket no Vest Uniform w/ Vest	Other Factors: WORK DEPUTY A. SMITH	ING WITH PARTNER,
	Range Qualification Date:		PPC Qualification Date:	Laser Training Da	ate:
	Certified with Weapon Used	? Patrol Certification	? Certification Unit:	Prior Shootings?	lumber of Prior Shootings:
	Field Training Officer Emp#	Last Name		First Name	M.I.
	Field Training Officer Emp#	Last Name		First Name	M.I.
2	Employee#	Last Name GARCIA		First Name DIANNE	M.I.
	Sex: Race:	Rank : DEPUTY	Unit Assignment: TRANSIT SERVICES BUREA	Work Assignment (Unit#, I	Module, etc.): 685B
	ShiftTime (circle only one): EM (PM) Day	ShiftType (circle only one): (Regular) Overtime Off Duty	Intoxication/Drug Usage?	Substance Used:	
	Hospital Admission?	Hospital Name:	Coroner Case?	Coroner Case #	Interviewed?
		1400 '04" Weight 130	Clothing (circle only one): Plain Clothes no Vest Plain Clothes w/ Vest Raid Jacket m/ Vest Uniform m/ Vest Uniform w/ Vest	UNIT	ING IN A ONE PERSON
	Range Qualification Date:	F	PPC Qualification Date:	Laser Training Da	
	Certified with Weapon Used	? Patrol Certification	Certification Unit:	Prior Shootings?	lumber of Prior Shootings:
	Field Training Officer Emp#	Last Name		First Name	M.I.
	Field Training Officer Emp#	Last Name		First Name	M.I.
E_3	Employee#	Last Name RIORDAN		First Name DANIEL	M.I. D.
	Sex: Race: W	Rank DEPUTY	Unit Assignment: TRANSIT SERVICES BUREA	Work Assignment (Unit #,	Module, etc.): 604F2
	ShiftTime (circle only one): EM (PM) Day	ShiftType (circle only one): (Regular) Overtime Off Duty	Intoxication/Drug Usage?	Substance Used:	
	Hospital Admission?	Hospital Name:	Coroner Case?	Coroner Case #	Interviewed?
	Hrs of sleep prior to shooting Age: Height:	Weight:	Clothing (circle only one): Plain Cothes no Vest Raid Jacket w/ Vest Plain Cothes w/ Vest Uniform no Vest	Other Factors: WORK DEPUTY HARPER	ING WITH PARTNER,
	Range Qualification Date:	111" 160	Raid Jacket no Vest Uniform w/ Vest PPC Qualification Date:	Laser Training Da	ate:
	Certified with Weapon Used	Patrol Certification	Certification Unit:	Prior Shootings?	Number of Prior Shootings:
	Field Training Officer Emp#	Last Name		First Name	M.I.
	Field Training Officer Emp#	Last Name		First Name	M.I.

Officer Involved Shooting Suspect Information

URN: ____004-02160-6008-055

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S 1			Suspecti	nformation		
2 1	Last Name ELLIS			First Name KEVIN		M.I. D.
_	AKA Last Name			First Name		M.I.
	Sex: M Race: BLACK	Street Address		City		State & Zin Code:
	Work Phone:	Home Phone:	Social Sec	urity#.	Driver's License #;	
	Age: 39 D.O.B. 06-28-64	Height: 5' 9" Weight: 210	FBI#		CII#	
	Booking # 8068789	Primary Charge: 245 (A)(245 (A)(2)PC			
	Coroner Case?	Coroner Case #		Intoxication/Drug Usage?	Substance Used:	
	Armed?	Apprehended?		Mental Illness?	Criminal History?	
	Vehicle Make	NONE		Model:	Year:	
s_	Last Name			First Name		M.I.
	AKA Last Name			First Name		M.I.
	Sex: Race:	Street Address:		City		State & Zip Code:
	Work Phone:	Home Phone:	Social Sec	urity #	Driver's License #:	
	Age: D.O.B.		Height: Weight: FBI #			
	Booking #	Primary Charge:				
	Coroner Case?	Coroner Case #		Intoxication/Drug Usage?	Substance Used:	
	Armed? Apprehended?			Mental Illness?	Criminal History?	
	Vehicle Make					
	22.00					
-	Last Namo			First Name		***
s	Last Name			First Name		M.I.
s	Last Name AKA Last Name			First Name		M.I.
s		Street Address:				79090
s	AKA Last Name	Street Address: Home Phone:	Social Seco	First Name City	Driver's License #.	M.I.
S	AKA Last Name Sex: Race:		Social Seco	First Name City	Driver's License #.	M.I.
<u>s</u>	AKA Last Name Sex: Race: Work Phone:	Home Phone:		First Name City		M.I.
S	AKA Last Name Sex: Race: Work Phone: Age: D.O.B.	Home Phone: Height: Weight:		First Name City urity #:		M.I.
S	AKA Last Name Sex: Race: Work Phone: Age: D.O.B. Booking #	Home Phone: Height: Weight: Primary Charge:		City urity #: Secondary Charge:	CII#	M.I.
S	AKA Last Name Sex: Race: Work Phone: Age: D.O.B. Booking # Coroner Case?	Home Phone: Height: Weight: Primary Charge: Coroner Case #		First Name City urity #: Secondary Charge: Intoxication/Drug Usage?	CII#	M.I.
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	AKA Last Name Sex: Race: Work Phone: Age: D.O.B. Booking # Coroner Case? Armed? Vehicle Make Last Name AKA Last Name	Home Phone: Height: Weight: Primary Charge: Coroner Case # Apprehended?		First Name City urity #: Secondary Charge: Intoxication/Drug Usage? Mental Illness? Model: First Name First Name City	CII# Substance Used: Criminal History?	M.I. State & Zip Code: M.I. M.I.
	AKA Last Name Sex: Race: Work Phone: Age: D.O.B. Booking # Coroner Case? Armed? Vehicle Make Last Name AKA Last Name Sex: Race:	Home Phone: Height: Weight: Primary Charge: Coroner Case # Apprehended? Street Address:	FBI#	First Name City urity #: Secondary Charge: Intoxication/Drug Usage? Mental Illness? Model: First Name First Name City	CII# Substance Used: Criminal History? Year:	M.I. State & Zip Code: M.I. M.I.
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SUPPLEM NTAL EMPLOYEE V TNESSES Los Angeles County Sheriff's Department

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mployee Witnesses		
ast Name SMITH	First Name AMORE	M .l.
Street Address EMP. #	Zip Code Work Ph	Home Ph
ast Name	First Name	M,I,
Street Address	Zip Code Work Ph	Home Ph
ast Name	First Name	M.I.
Street Address	Zip Code Work Ph	Home Ph
ast Name	First Name	M.I.
Street Address	Zip Code Work Ph	Home Ph
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LOS ANGELES COUNTY DISTRICT ATTORNEY'S OFFICE BUREAU OF FRAND AND CORRUPTION PROSECUTIONS JUSTICE SYSTEM INTEGRITY DIVISION

STEVE COOLEY • District Attorney
CURT LIVESAY• Chief Deputy District Attorney
CURTIS A. HAZELL • Assistant District Attorney

RICHARD D. DOYLE . Director

October 27, 2004

Captain Raymond Peavy
Los Angeles County Sheriff's Department
Homicide Bureau
5747 Rickenbacker Road
Commerce, California 90040

Re: J.S.I.D. # 04-0269

L.A.S.D. # 004-02160-6008-055

Dear Captain Peavy:

The Justice System Integrity Division of the Los Angeles County District Attorney's Office has completed its review of the March 29, 2004, non-fatal shooting of Kevin Ellis by Deputies Daniel Riordan, Tina Kelley, Dianne Garcia, and and of the Los Angeles Sheriff's Department (LASD). We find that the involved LASD personnel acted lawfully in self-defense and defense of each other.

The following analysis is based upon the reports relating to this matter submitted to this office on July 8, 2004, by Detective Randy Seymour, LASD Homicide Bureau. The District Attorney's Command Center was notified of this incident at 10:15 p.m. on March 29, 2004. Deputy District Attorney Christian Gullon and Senior Investigator were notified by the Command Center and responded immediately to the scene. They were given a briefing and a walk-through of the scene by investigators from the LASD Homicide Bureau. The deputies involved in the incident gave voluntary statements to investigators which were considered in our analysis.

FACTUAL ANALYSIS

On March 29, 2004, Deputies Scott Harper and Daniel Riordan were on duty and assigned to the Sheriff's Transit Service Bureau. At approximately 8:30 p.m., during a routine patrol of the "Blue Line" Willow Street passenger station, the Deputies were notified by the train operator that there was a "crazy man" on the tracks. Deputies also received notification from the Communications Division, of an individual playing "chicken" with the train at the same station.

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The deputies approached the individual, later identified as Kevin Ellis, on foot, along the train tracks. Ellis yelled at the approaching deputies and began throwing rocks at them. The deputies took cover behind a utility pole as Ellis continued to throw rocks. The deputies then drew their weapons. As Harper drew his weapon, Ellis sat down at the base of the embankment on the east side of the tracks. Neither deputy was able to see Ellis' right hand because he appeared to be concealing it in his waistband. Both deputies shouted commands to show his hands. Ellis replied "I got a gun. You guys want to see it, come over here and I'll show it to you." Harper requested assistance from additional LASD personnel.

Ellis remained seated at the base of the embankment as other deputies and the LASD air unit arrived. Deputy Robert Reynolds was across the tracks from Ellis and was able to see that Ellis' hands were concealed in his waistband. According to Reynolds, Ellis refused to comply with several requests to show his hands. Reynolds said that Ellis ran up the embankment into an area with shrubbery dense enough that Ellis was out of view for a period of 10-15 minutes.

Deputy Mario Rodarte responded to the scene and was in an area further east and on higher ground than the described shrubbery. Rodarte could not see Ellis, but did hear rustling within the bushes. Rodarte could hear commands from deputies telling Ellis to come out of the bushes with his hands up. Rodarte heard the suspect yell "I have a gun. You guys are not going to take me alive."

is a transient who was in Veteran's Park, located across the street on the western
side of the tracks. He stated that he had seen Ellis earlier in the day with what Hoch believed
was a bible near the intersection of Willow Street and Long Beach Boulevard yelling at
oncoming traffic. believed he heard Ellis tell deputies "All I got is a bible. I got no gun."
did not actually see Ellis being shot but heard the gunfire.
responded to questions very inconsistently. He contradicts Ellis' own statements as to what his
intentions were.

After a period of 10-15 minutes, Ellis came sliding down the embankment in a sitting position, stood up, and ran toward the deputies standing behind the utility pole. Deputy Reynolds observed Ellis' hands to be held at the waistband area of his clothing. Deputy Riordan described Ellis as letting out a "blood curdling yell" and sprinting toward his position. Riordan said that Ellis had both hands toward his right hip and that Ellis "made it clear he was reaching for a weapon." Riordan said that he was in fear for his own life and the life of Deputy who was closest to Ellis but armed only with a beanbag shotgun. Riordan thought that Ellis was going to kill one of the deputies present so he fired one shot. Ellis continued to charge, so Riordan fired two more shots. Several other shots, including beanbags, were fired at Ellis who eventually went down.

Deputies D	ianne Garcia and T	<u>ina Kelley bot</u> h fi	ired rounds fi	rom their h	iandguns at	t Ellis while
Deputies	and	fire	d "bean bag'	'shotguns	at him. Al	l four of these

Captain Peavy October 27, 2004 Page 3 of 4

deputies described the events similarly to Riordan. Each of them independently described fearing for their own personal safety and that of their fellow deputies.

LASD investigators interviewed Kevin Ellis on April 19th at Long Beach Memorial Hospital. Ellis recalled portions of the incident that occurred on March 29, 2004. He told investigators that he believed all his personal problems would be over if he were to die. He explained that he was diagnosed previously as a schizophrenic and the "voices" convinced him that he was being followed by law enforcement. He stated that he charged the deputies hoping they would kill him quickly. He hoped to be fatally shot in the head. Ellis acknowledged that his actions that night would have led deputies to believe he was armed.

LEGAL ANALYSIS

The use of deadly force in self-defense or in defense of others is justifiable if the person claiming the right to use self-defense actually and reasonably believed that he or the person being defended is in imminent danger of death or great bodily injury. People v. Williams, (1977) 75 Cal.App.3rd 731, People v. Glover, (1903) 141Cal. 233, 239 and People v. Ortiz (1923) 63 Cal.App. 662, 668.

Penal Code §§ 692, 693 & 694 specifically authorize an individual protecting himself or another to use all force which he believes reasonably necessary and which would appear to a reasonable person, in the same or similar circumstances, to be necessary to prevent the injury which appears to be imminent. See also, <u>CALJIC</u> 5.30, 5.32

Where the peril is swift and imminent and the necessity for action immediate, the law does not weigh into nice scales the conduct of the assailed and say he shall not be justified in killing because he might have resorted to other means to secure his safety. People v. Collins, (1961) 189 Cal.App.2d 575.

Actual danger is not necessary to justify the use of deadly force in self-defense. If one is confronted by the appearance of danger which one believes, and a reasonable person in the same position would believe, would result in death or great bodily injury, one may act upon those circumstances. The right of self-defense is the same whether the danger is real or merely apparent. People v. Toledo, (1948) 85 Cal.App.2d 577.

CONCLUSION

Unbeknownst to deputies, Kevin Ellis was suicidal and created a high risk situation hoping that the deputies would kill him. Ellis stated he had a gun and charged deputies, while concealing his hands, in an attempt to persuade the deputies of the necessity of shooting him. Under these circumstances it was certainly reasonable for the deputies to believe that in order to prevent serious injury or death, the use of deadly force was necessary. In fear for their lives and the lives of their partners, the Deputies Riordan, Kelley, and Garcia, responded with deadly force to end

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the threat. Deputies and perceived the identical threat and used less lethal weapons to stop Ellis. We conclude that all five of the deputies acted in lawful self-defense and the defense of others. Therefore, we are closing our file and will take no further action on this matter.

Very truly yours,

STEVE COOLEY District Attorney

CHRISTIAN GULLON Deputy District Attorney

(213) 974-5054

c: Deputy Daniel Riordan, # Deputy Tina Kelley, # Deputy Dianne Garcia, # Deputy Deputy